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**The coexistence of two *bla*_{NDM-5} genes on an IncF plasmid
as revealed by nanopore sequencing**

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Running title: two *bla*_{NDM-5} on a plasmid

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19 **Abstract**

20 In a carbapenem-resistant *Escherichia coli* clinical isolate of sequence type 167, two
21 copies of *bla*_{NDM-5} were found on a 144,225-bp IncF self-transmissible plasmid of the
22 F36:A4:B- type. Both *bla*_{NDM-5} genes were located in 11,065-bp regions flanked by
23 two copies of IS26. The two regions were identical in sequence but were present at
24 different locations on the plasmid, suggesting a duplication of the same region. This
25 study highlights the complex genetic contexts of *bla*_{NDM-5}.

New Delhi metallo- β -lactamase (NDM) is a type of carbapenem-hydrolysing enzymes (carbapenemases) with the ability to hydrolyze all β -lactams except monobactams (1), representing a serious challenge for treatment of bacterial infections, infection control and public health. ~~Up to now~~To date, there are 21 variants of NDM, ~~among which~~with NDM-5 ~~is~~ one of the most common variants encountered in the Enterobacteriaceae (2-5). The NDM-5-encoding gene, *bla*_{NDM-5}, usually exists in a single copy on plasmids. However, we have found the peculiar presence of two copies of *bla*_{NDM-5} on a single plasmid within an *Escherichia coli* clinical isolate, which is reported here.

E. coli strain SCEC020007 was recovered from urine of a female outpatient with urinary tract infection in October 2016 in China. The strain was resistant to amikacin (MIC, >512 μ g/ml), ceftazidime (>512 μ g/ml), ceftazidime-avibactam (>512/4 μ g/ml), ciprofloxacin (256 μ g/ml), imipenem (64 μ g/ml), meropenem (256 μ g/ml), piperacillin-tazobactam (>512/4 μ g/ml) and trimethoprim-sulfamethoxazole (128/2,432 μ g/ml), but was susceptible to aztreonam (8 μ g/ml), colistin (2 μ g/ml) and tigecycline (0.25 μ g/ml) as determined using the broth dilution method of the Clinical Laboratory Standards Institute (6). As there are no breakpoints of colistin and tigecycline from CLSI, those defined by EUCAST (<http://www.eucast.org/>) were applied.

A draft genome sequence of the strain was generated on the Illumina HiSeq X10 platform, which generated 5,557,833 clean reads and 1.67 Gb clean bases. A total of 113 contigs (102 >1,000 bp; *N*50 126,680 bp) with a 50.76% GC content were *de novo* assembled using SPAdes (7). Strain SCEC020007 belonged to phylogenetic group A as determined using PCR as described previously (8) and sequence type

167 (ST167) as determined using the genomic sequence to query the *E. coli* multi-locus sequence typing database (<http://enterobase.warwick.ac.uk/species/index/ecoli>). Antimicrobial resistance genes were identified from genome sequences using the ABRicate program (<https://github.com/tseemann/abricate>) to query the ResFinder database (<http://genomicepidemiology.org/>). Strain SCEC020007 had 9 antimicrobial resistance genes mediating resistance to aminoglycosides (*aadA2*, *aadA5*, *rmtB*), β -lactams (*bla*_{NDM-5} and *bla*_{TEM-1}), tetracycline (*tet(A)*), sulphonamides (*sul1*) and trimethoprim (*dfrA12* and *dfrA17*). Plasmid replicon types within strain SCEC020007 were determined using ~~by~~ the PlasmidFinder tool at <http://genomicepidemiology.org/>. Surprisingly, strain SCEC020007 had an IncFIA, an IncFII and an IncB/O/K/Z replicon but no IncX3, which is the common replicon type of plasmids associated with *bla*_{NDM-5}.

To untangle the genetic context of *bla*_{NDM-5}, strain SCEC020007 was subjected to sequencing using the long-read ~~real-time~~ MinION Sequencer (Nanopore, Oxford, UK). ~~The A~~ de novo hybrid assembly of both short Illumina reads and long MinION reads was ~~performed-constructed~~ using Unicycler v0.4.3 (9) under conservative mode for ~~an~~ increased accuracy. ~~The C~~ complete circular contigs generated were then corrected using Plion v1.22 (10) with Illumina reads for several rounds until no change was detected. The hybrid assembly of Illumina and MinION reads revealed that strain SCEC020007 had a 4.8-Mb circular chromosome, a 144,225-bp plasmid containing ~~an~~ IncFIA and ~~a~~ FII replicons (designated pNDM5_020007) and an 84,952-bp plasmid with an IncB/O/K/Z replicon (designated pBOKZ_020007). Surprisingly, there were two copies of *bla*_{NDM-5} in strain SCEC020007, both of which were present on pNDM5_020007. Both *bla*_{NDM-5} genes were located in 11,065-bp

regions flanked by two copies of IS26 and the two regions were identical in sequence but were present at different locations on pNDM5_020007 (Figure 1), suggesting that the 11,065-bp region is duplicated. The presence of the two *bla*_{NDM-5} genes and their locations on pNDM5_020007 were confirmed by PCR. The 11,065-bp region contained a complex class 1 integron with a *dfrA17-aadA5* cassette array and ISCR1 (insertion sequence common region 1), which is truncated by IS26 at its 5' conserved segment, a 69-bp remnant of *ctuA1* (encoding an ion tolerant protein), *dsbC* (encoding an oxidoreductase), *trpF* (encoding a phosphoribosylanthranilate isomerase), *ble* (mediating bleomycin resistance), *bla*_{NDM-5}, a truncated IS*Aba125* and a truncated IS*Ecp1/ISEc9* element (Figure 1). The co-existence of two *bla*_{NDM-5} genes has not been reported before but the co-existence of two *bla*_{NDM-1} genes has been described previously (11, 12). Two tandem copies of *bla*_{NDM-1} genes have been found in the chromosome of an ST167 *E. coli* in China (11) and a *Pseudomonas aeruginosa* strain in Serbia (12). In both cases, the tandem copies of *bla*_{NDM-1} are associated with ISCR1 but not IS26. It is known that ISCR1 uses the rolling circle mechanism for transposition and may generate tandem duplication of its mobilized sequence via homologous recombination (13). However, the duplication of the 11,065-bp region carrying *bla*_{NDM-5} on pNDM5_020007 is not tandem, suggesting that the duplication might not result from the action of ISCR1 but could be mediated by IS26. The exact mechanism for the duplication of such a large region warrants further studies.

Assembly based on Illumina reads alone generated only a single contig containing *bla*_{NDM-5} and was unable to reveal that there were actually ~~were~~ two identical copies of the same contig. This imposes difficulties for completing the *bla*_{NDM-5}-carrying plasmid

sequence by conventional methods including PCR and Sanger sequencing to close gaps between contigs. By contrast, MinION sequencing ~~is~~was able to resolve the copy numbers of genes and contigs and their exact position on the plasmid relative to each other.

Plasmid multi-locus sequence typing (pMLST) was performed using the pMLST tool (<https://cge.cbs.dtu.dk/services/pMLST/>). pNDM5_020007 belongs to the F36:A4:B-type. pNDM5_020007 ~~was~~has closest similarity (97% coverage and 99% identity) to a 149.5-kb unnamed plasmid (GenBank accession no. CP023871) from *E. coli* strain FDAARGOS_434, which was recovered from a human rectal swab in British Columbia, Canada, in 2014. This unnamed plasmid also carries *bla*_{NDM-5} (a single copy) and belongs to the F36:A4:B- type. Backbones of pNDM5_020007 and the unnamed plasmid of strain FDAARGOS_434 are almost identical, suggesting that they might have originated from a common plasmid. Conjugation experiments were carried out in broth and on filters with the azide-resistant *E. coli* strain J53 as the recipient. pNDM5_020007 was able to be transferred by conjugation, suggesting that it is self-transmissible.

In conclusion, we identified the presence of two *bla*_{NDM-5} genes on an F36:A4:B-self-transmissible plasmid. The co-existence of two *bla*_{NDM-5} genes was due to the duplication of an IS26-bracketed region containing *ISCR1*.

Nucleotide sequence accession numbers. The complete sequence of pBOKZ_020007, pNDM5_020007 and the chromosome of strain SCEC020007 has

been deposited into GenBank under the accession no. CP025625, CP025626 and CP025627, respectively.

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178 **Figure legend**

179 **Figure 1.** pNDM5_020007 and the genetic context of *bla*_{NDM-5}. The two
180 11,065-bp *bla*_{NDM-5}-containing regions bracketed by IS26 are indicated by
181 orange circles in the map of pNDM5_020007 and are shown in detail at the
182 bottom. Δ represents truncated genes or mobile genetic elements.